BEST AVAILABLE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Gluckman et al. Appln. No.: 10/606,574

Confirm No.: 4791

Filed: June 26, 2003

Title: REGULATION OF TYROSINE HYDROXYLASE

BY GPE

PATENT APPLICATION

Art Unit: 1654

Examiner: Jeffrey E. Russel

Customer No. 23910

CERTIFICATE OF OWNERSHIP UNDER 37 C.F.R. §3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

ASSIGNEE, a X corporation partnership other (specify), makes this statement to establish ownership of the parent application identified above pursuant to 37 C.F.R. §3.73(b).

The following evidentiary documents establish a chain of title from the original owner to the Assignee:

X a copy of an Assignment attached hereto, the original of which has been (or is herewith) forwarded to the Patent and Trademark Office for recording, or

the Assignment recorded on ______ at reel_, frames ______.

The evidentiary documents have been reviewed and Assignee hereby certifies that, to the best of Assignee's knowledge and belief, title to the above-identified patent application is in the Assignee.

If the assignee is owner of less than the entire right title and interest, the ownership interest of the Assignee is identified pursuant to 37 C.F.R. §3.73(c)(2) as follows:

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

-1-

Anomey Ducket No.: NRNZ 1005 US1 263.001:050503 dbb/NRNZ/1005.007.Certificate of Ownership 01/10/05-13:29 I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Neuron Pharmaceuticals Ltd.

Date:	10 Jan 2005	Ву:	Thones
		Print Name:	Kathryn Jones
		Title: 2005	Chief Business Officer January 10

-2-

Attorney Docket No.: NRNZ 1005 US1 263.001:050503 dbb/NRVZ/1005.007.Certificate of Ownership 01/10/05-13/29

In re Application

Commissioner for Patents

PATENT

Inventor(s):

Glucionan et al.

Patent No.:

6,187,906 Echange 13

Issued;

February 13, 2001

Title: METHODS TO IMPROVE NEURAL OUTCOME

CERTIFICATE OF OWNERSHIP UNDER 37 C.F.R. 13.73(b)

P.O. Box 1450 Alexandria, VA 22313-1450 Sir: ASSIGNEE, a X corporation partnership other (specify), makes this statement to establish ownership of the patent application identified above pursuant to 37 C.F.R. '3.73(b). The following evidentiary documents establish a chain of title from the original owner to the Assignee; a copy of an Assignment attached hereto, the original of which has been (or is herewith) forwarded to the Patent and Trademark Office for recording or the Assignment recorded on ___ ___ est reel _ frames __ The evidentiary documents have been reviewed and Assignee hereby certifies that, to the best of Assignee's knowledge and belief, title to the above-identified patent application is in the Assignee. If the assignee is owner of less than the entire right title and interest, the ownership interest of the Assignee is identified pursuam to 37 C.F.R. '3.73(c)(2) as follows: The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

- 1 -

Anomey Docket No.: NRNZ 1002 US2

6bb/NRNZ/1002 US2.002.CERTIFICATE OF COWNERSHIP 6187906

263.001:050503 01/11/05-12/16 I hereby declare that all statements made berein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under '1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

	Medien Praimaceudens Lid.	
Ву:	yones	
Print Name:	Kathryn Jones	
Title:	Chief Business Officer	
	Print Name:	Print Name: Kathryn Jones

Attorney Occide No.: NRNZ 1002 US2

6bb/NRNZ/1002 US2.002.CERTIFICATE OF OWNERSHIP 6187906

263.001:050503 01/11/05-12:36

In re Application

<u>PATENT</u>

Inventor(s): Patent No.:

Gluckman et al.

6,812,208

Issued:

November 2, 2004

Title: METHODS TO IMPROVE NEURAL OUTCOME

CERTIFICATE OF OWNERSHIP UNDER 37 C.F.R. 13,73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir: ASSIGNEE, a X corporation ___ partnership ___ other (specify), makes this statement to establish ownership of the patent application identified above pursuant to 37 C.F.R. '3.73(b). The following evidentiary documents establish a chain of title from the original owner to the Assignee: a copy of an Assignment attached hereto, the original of which has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or the Assignment recorded on ___ ____ at reel _ frames _ The evidentiary documents have been reviewed and Assignee hereby certifies that, to the best of Assignee's knowledge and belief, title to the above-identified parent application is in the Assignee. If the assignee is owner of less than the entire right title and interest, the ownership interest of the Assignee is identified pursuant to 37 C.F.R. 13.73(c)(2) as follows: The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

Attorney Docket No.: NRNZ 1002 US3 AND NEW TOO US 3:001. CERTIFICATE OF OWNERSHIP 6812208

263.001:050303 01/11/05-10:53

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under '1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

		Neuran Pharmacenticals Ltd.
Date: 11 Jan 2005	Ву:	yours
	Print Name:	Kathryn Jones
	Title:	Chief Business Officer

In re Application		PATENT
Inventor(s): Gluck	lonan et al.	
Patent No.: 6,780	0,848	
Issued: Augu	ust 24, 2004	
Title: USE OF GPI	E TO PROTECT GLIAL CELLS OR	
NON-DOPA	MINERGIC CELLS FROM DEATH	
FROM NEU	RAL INJURY OR DISEASE	
		!
CJ	ertificate of ownership un	(DER 37 C.F.K. *3.75(0)
Commissioner for Pate	ents	
P.O. Box 1450		
Alexandria, VA 2231	3-1450	
Sir:		
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ASSIGNEE, 8	a <u>X </u>	other (specify), makes this statement to
establish ownership of	t the bateur abbresmon insurince spoor	bridgen of the surfale
The following	g evidentiary documents establish a chai	n of title from the original owner to the Assigner:
X	a copy of an Assignment attached	hereto, the original of which has been (or is
	herewith) forwarded to the Patent at	nd Trademark Office for recording; or
	.1 . 47	at reel _, frames
	the Assignment recorded on	gt rea names
The evidentia Assignee's knowledge	ary documents have been reviewed and a and belief, title to the above-identified	d Assignce hereby certifies that, to the best of I patent application is in the Assignce.
. 15-1	is summer of loca than the entire tight	title and interest, the ownership interest of the
engrees am n Aestmanis i dentified	pursuant to 37 C.F.R. '3.73(c)(2) as f	follows:
_		
 The undersign 	ned (whose title is supplied below) is et	npowered to sign this certificate on behalf of the
Assignee.		
	-1-	
Atterney Docket No.: NRN	=	263,001:05000
handle of the control	CERTIFICATE OF OWNERSHIP 6780848	01/1 1/05-1 0:5

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under '1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Neuren Pharmaceuticals Ltd.

Date: 11 Jan 2005 By:

Print Name: Kathryn Jones

Title: Chief Business Officer

-2-

Ammey Docker No.: NRNZ 1002 US4
ObbnRNZ/1002 US4.008.CERTIFICATE OF OWNERSHIP 6789848

263,001:050603 01/11/05-10:54

In re Application

Commissioner for Patents

PATENT

Inventor(s):

Tajrena Alexi 6,682,753

Patent No.: Issued:

January 27, 2004

Title: METHODS FOR PROMOTING WEIGHT GAIN

USING GPE-RELATED COMPOUNDS

CERTIFICATE OF OWNERSHIP UNDER 37 C.F.R. '3.73(b)

P.O. Box 1450 Alexandria, VA 22313-1450 Sir.

ASSIGNEE, a X corporation partnership other (specify), makes this statement to establish ownership of the parent application identified above pursuant to 37 C.F.R. 13.73(b). The following evidentiary documents establish a chain of title from the original owner to the Assignee: a copy of an Assignment attached hereto, the original of which has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or __atreel_, frames, the Assignment recorded on The evidentiary documents have been reviewed and Assignee hereby certifies that, to the best of Assignce's knowledge and belief, title to the above-identified patent application is in the Assignee. If the assignee is owner of less than the entire right title and interest, the ownership interest of the Assignee is identified pursuant to 37 C.F.R. '3.73(c)(2) as follows: .

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

-1-

Attorney Docket No.: NRNZ 1017 US1 dearniza 017,001. Certificate of Ownership 6682753 263,001:050503 01/11/05-10:54 1 hereby declare that a made on information and beliknowledge that willful false stunder '1001 of Title 18 of the validity of the application and series and the statement of the application and the validity of the application and the statement of I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under '1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Neuren Pharmaceuticals Ltd.

Date:	11 Jan 2005	By:	yones
		Print Name:	Kathryn Jones
		Tide:	Chief Business Officer

CORPORATE TO CORPORATE ASSIGNMENT OF PATENTS, APPLICATIONS, AND INVENTIONS

WHEREAS, NeuronZ Ltd. (hereinafter termed 'the Assignor'), a New Zealand 'company having a place of business at Level 3, 2-6 Park Avenue, Grafton, Auckland, New Zealand, is the owner of all right little and interest in the following patents and patent applications:

Title:	New peptide antagonists at glutamate and NMDA receptors
inventors:	J.P. Bourguignon
Application No.:	PCT/SE94/00454
Attorney Docket No.:	NRNZ-1001WO
Title:	New peptide antagonists at glutamate and NMDA receptors
Inventors:	J.P. Bourguignan
Application No.:	10/087,011
Attorney Docket No.:	NFNZ-1001US2
Tive:	New peptide antagonists at glutamate and NMDA receptors
Inventors:	J.P. Bourguignon
Patent No.:	US 5,804,550
Attorney Docket No.:	NRNZ-1001US0
Title:	New peptide entagonists at glutamate and NMDA receptors
Inventors:	J.P. Bourguignon
Patent No.:	AU 685,123
Altumey Docket No.:	NFINZ-1001AUÓ
Trile:	New peptide antagonists at glutamate and NMDA receptors
Inventors:	J.P. Bourguignan

Page 1 of 14

ÇA 2,162,924

NRNZ-1001CA0

Application No.:

Attorney Docket No.:

Tille:	New peptide antagonists at glutamate and NMDA receptors
Inventors:	J.P. Bourguignen
Patent No.:	EP 697886
Attorney Docket No.:	NRNZ-1001EP0
Title:	New peptide antagonists at glutamate and NMDA receptors
Inventors:	J.P. Baurguignon
Application No.:	JP524705/1994
Attorney Docket No.:	NRNZ-1001JP0
Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman, Williams
Application No.:	1) NZ 250572
	2) NZ 260091
	3) NZ 264070
Attorney Dockel No.:	NFINZ-1002L1,2,3
Attorney Docker No.:	NRNZ-1002L1,2,3
Attorney Dockel No.:	NRNZ-1002L1,2,3 Compositions and methods to improve neural outcome
Tittle:	Compositions and methods to improve neural outcome
Title: Inventors:	Compositions and methods to improve neural outcome Gluckman, Williams
Title: Inventors: Application No.: Attorney Docket No.:	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143 NRNZ-1002WO
Title: Inventors: Application No.: Attorney Docket No.:	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143
Title: Inventors: Application No.: Altorney Docket No.:	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143 NRNZ-1002WO
Title: Inventors: Application No.:	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143 NRNZ-1002WO Use of tripeptide GPE for treating or preventing symptoms of Parkinson's disease
Title: Application No.: Attorney Docket No.: Title: Inventors:	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143 NRNZ-1002WO Use of tripeptide GPE for treating or preventing symptoms of Parkinson's disease Gluckman, Williams, Guan, Krishnamurthi
Title: Inventors: Application No.: Attorney Docket No.: Title: Inventors: Application No.	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143 NRNZ-1002WO Use of tripeptide GPE for treating or preventing symptoms of Parkinson's disease Gluckman, Williams, Guan, Krishnamurthi PCT/US02/16809
Title: Inventors: Application No.: Attorney Docket No.: Title: Inventors: Application No.	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143 NRNZ-1002WO Use of tripeptide GPE for treating or preventing symptoms of Parkinson's disease Gluckman, Williams, Guan, Krishnamurthi PCT/US02/16809
Title: Inventors: Application No.: Attorney Docket No.: Title: Inventors: Application No. Attorney Docket No.:	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143 NRNZ-1002WO Use of tripeptide GPE for treating or preventing symptoms of Parkinson's disease Gluckman, Williams, Guan, Krishnamurthi PCT/US02/16809 NRNZ-1002WO3
Title: Application No.: Attorney Docket No.: Title: Inventors: Application No. Attorney Docket No.:	Compositions and methods to improve neural outcome Gluckman, Williams PCT/NZ94/00143 NRNZ-1002WO Use of tripeptide GPE for treating or preventing symptoms of Parkinson's disease Gluckman, Williams, Guan, Krishnamurthi PCT/US02/16809 NRNZ-1002WO3 Compositions and methods to improve neural outcome

Page 2 of 14

Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: NZ 330,758 Attorney Docket No.: NRNZ-1002NZ Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: NRNZ-1002CA Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: CN 94195037.9 Attorney Docket No.: NRNZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: NRNZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: EP 95904702.8 Attorney Docket No.: NRNZ-1002EP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002UP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002UP		
Application No.: Application No.: Attorney Docket No.: NRNZ-1002NZ Title: Compositions and methods to improve neural outcome Inventors: Application No.: Attorney Docket No.: NRNZ-1002CA Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: CN 94195037.9 Attorney Docket No.: NRNZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: EP 95904702.8 Attorney Docket No.: NRNZ-1002EP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Title: Compositions and methods to improve neural outcome Gluckman, Williams Application No.: NRNZ-1002JP Title: Compositions and methods to improve neural outcome Gluckman, Williams Application No.: NRNZ-1002JP	Title:	Compositions and methods to improve neural outcome
Attorney Docket No.: NRNZ-1002NZ Title: Compositions and methods to Improve neural outcome Imventors: Application No.: CA 2178711 Attorney Docket No.: NRNZ-1002CA Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: CN 94195037.9 Attorney Docket No.: NRNZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: EP 9504702.6 Attorney Docket No.: NRNZ-1002EP Title: Compositions and methods to Improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Title: Compositions and methods to Improve neural outcome Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Title: Compositions and methods to Improve neural outcome Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP	Inventora:	Gluckman, Williams
Title: Compositions and methods to Improve neural outcome Imventors: Gluckman, Williams Application No.: CA 2178711 Attorney Docket No.: NRNZ-1002CA Title: Compositions and methods to improve naural outcome Inventors: Gluckman, Williams Application No.: CN 94195037.9 Attorney Docket No.: NRNZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: EP 95904702.6 Attorney Docket No.: NRNZ-1002EP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: Application No.: JP 517338/85 Attorney Docket No.: NRNZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/85 Attorney Docket No.: NRNZ-1002JP	Application No.:	NZ 330,758
Inventors: Gluckman, Williams Application No.: CA 2176711 Attorney Dockst No.: NRINZ-1002CA Tribe: Compositions and methods to improve naural outcome Inventors: Gluckman, Williams Application No.: CN 94195037.9 Attorney Dockst No.: NRINZ-1002CN Tribe: Compositions and methods to improve neural outcome Inventors: Gluckman, Wilkiams Application No.: EP 95904702.8 Attorney Dockst No.: NRINZ-1002EP Tribe: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Dockst No.: NRINZ-1002JP Trile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: NRINZ-1002JP	Attorney Docket No.:	NRNZ-1002NZ
Inventors: Gluckman, Williams Application No.: CA 2176711 Attorney Dockst No.: NRINZ-1002CA Title: Compositions and methods to improve naural outcome Inventors: Gluckman, Williams Application No.: CN 94195037.9 Attorney Dockst No.: NRINZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Wilkiams Application No.: EP 95904702.8 Attorney Dockst No.: NRINZ-1002EP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: IP 957338/95 Attorney Dockst No.: NRINZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: NRINZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,331		•
Application No.: Attemey Docket No.: NRNZ-1002CA Trile: Compositions and methods to improve neural outcome Inventors: Gluckman, Writiams Application No.: CN 94195037.9 Attemey Docket No.: NRNZ-1002CN Tride: Compositions and methods to improve neural outcome Inventors: Gluckman, Writiams Application No.: EP 95904702.8 Attemey Docket No.: NRNZ-1002EP Trile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Trile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Trile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,391	Title:	Compositions and methods to Improve neural outcome
Attorney Docket No.: NRNZ-1002CA Title: Compositions and methods to improve naural outcome Inventors: Gluckman, Williams Application No.: Attorney Docket No.: NRNZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: EP 95904702.6 Attorney Docket No.: NRNZ-1002EP Title: Compositions and methods to improve neural outcome Inventors: Gauckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gauckman, Williams Application No.: NRNZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,331	Inventors:	Gluckman, Williams
Title: Compositions and methods to improve neural outcome Inventors: Gluckmen, Williams Application No.: CN 94195037.9 Attorney Docket No.: NRNZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: EP 95904702.8 Attorney Docket No.: NRNZ-1002EP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002/P Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: NRNZ-1002/P Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,331	Application No.:	CA 2178711
Inventors: Gluckman, Williams Application No.: CN 94195037.9 Attorney Docket No.: NFINZ-1002CN Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: EP 95904702.8 Attorney Docket No.: NFINZ-1002EP Title: Compositions and methods to improve neural outcome Inventors: Gauckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRINZ-1002JP Thile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: NRINZ-1002JP Thile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,391	Attorney Dockst No.:	NRNZ-1002CA
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Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: EP 95904702.8 Attorney Docket No.: NRNZ-1002EP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Thile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,331	Application No.:	CN 94195037.9
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Attorney Docket No.: NRNZ-1002EP Tritle: Compositions and methods to improve neural outcome Inventors: Application No.: JP 517338/95 Attorney Docket No.: NRNZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,391	Inventors:	Gluckman, Williams
Title: Compositions and methods to Improve neural outcome Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NFINZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656/331	Application No.:	EP 95904702.8
Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NFINZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,331	Attorney Docket No.:	NRNZ-1002EP
Inventors: Gluckman, Williams Application No.: JP 517338/95 Attorney Docket No.: NFINZ-1002JP Title: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,391		
Application No.: Application No.: Alterney Docket No.: NRNZ-1002JP Thile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,331	Title:	Compositions and methods to improve neural outcome
Attorney Docket No.: NRNZ-1002JP Title: Compositions and methods to improve neural outcome knyembrs: Gluckman, Williams Application No.: US 08/656,391	Inventors:	Gluckman, Willams
Thile: Compositions and methods to improve neural outcome Inventors: Gluckman, Williams Application No.: US 08/656,391	Application No.:	JP 517338/95
knventors: Gluckman, Wfilliams Application No.: US 08/656,391	Attorney Docket No.:	NRNZ-1002JP
knventors: Gluckman, Wfilliams Application No.: US 08/656,391		
Application No.: US 08/656,391	Thie:	Compositions and methods to improve neural outcome
	Inventors:	Gluckman, Williams
Attorney Dockel No.: NRNZ-1002US0	Application No.:	U\$ 08/656,391
	Attorney Docket No.:	NRNZ-1002US0

Page 3 of 14

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman, Williams
Application No.:	US 08/907,918
Attorney Docket No.:	NFINZ-1002US1
Title:	Methods to improve neural outcome
inventors:	Gluckman, Williams, Guan
Patent No.:	US 6,187,906
Attorney Docket No.:	NRNZ-1002US2
<u></u>	
Title:	Melhods to improve neural outcome
Inventors:	Gluckman, Williams, Guan, Krishnamurthi
Application No.:	US 09/866,536
Attorney Docket No.:	NRNZ-1002US3
Title:	Use of GPE to protect glial cells or non-departmengic cells from death from neural injury or disease
inventors:	Gluckman, Williams
Patent No.:	US 6,780,848
Attorney Docket No.:	NRNZ-1002US4
Title:	Composition and methods to improve neural outcome
Inventors:	Gluckman, Krissansen, Karwar, Sirimanne
Application No.:	US 10/119,386
Attorney Docket No.:	NRNZ-1002US5
Title:	Regulation of Neural Enzymes
Inventors:	Gluckman, Williams, Guan
Application No.:	NZ 299511
••	NZ 299512
	NZ299513 ·
American Position No.	NONZ-10001
Attorney Docket No.:	NRNZ-1009L

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Title:	Regulation of Neural Enzymes
Inventors:	Gluckman, Williams, Guan
Application No.:	PCT/NZ97/00132
Attorney Docket No.:	NRNZ-1003WO

Title:	Regulation of Neural Enzymes
Inventors:	Gluckman, Williams, Guan
Patent No:	US 6,365,573
Attorney Docket No.:	NRNZ-1003US0

Title:	Regulation of Neural Enzymes
Inventors:	Gluckman, Williams, Guan
Application No. or Palent No.:	AU 743,412
	NZ 335,544
	CA 2,267,523
	EP 97945108.5
	JP 516411/98
Attorney Docket No.:	NFINZ-1003

Title:	Neuronal Rescue Agent
Inventors:	Gluckman, Williams, Wu, Hughes, Lai
Application No.:	US 10/157,542
Attorney Docket No.:	NRNZ-1004US1 .

Title:	Neuronal Rescue Agent
Inventors:	Gluckman, Williams, Wu, Hughes, Lai
Application No.:	US 09/508,897
Attorney Docket No.:	NRNZ-1004US0 -

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Title:	Receptor
Inventors:	Gluckman, Clark, Sheepens, Tong, Gilmour
Application No.:	NZ 527,027
Attorney Docket No.:	NANZ-1007

Tive:	GPE analogues
Inventors:	Gluckman, Alexi
Application No.:	PCT/US01/41883 US 10/362,266 EP 01968959.5
Attomey Docket No.:	NFINZ-1008

Title:	Functional proteomics using double phage display screening	
Inventors:	Gilmour, Marvin	
Application No.:	PCT/US01/47836 US 10/433,738	
Attorney Docket No.:	NRNZ-1009	

Title:	Compositions and methods for the rescue of white matter
Inventors:	Guan, Gunn, Bennet, Egan
Application No.:	PCT/US01/47749
	US 60/254,349
	, US 60/287,668
	US 10/013,812
Attorney Docket No.:	NRNZ-1010

Title:	Regulation of weight
Inventors:	Alexi
Application or Patent No.:	US 60/278,562 PCT/US02/07686 EP 02726620.4 US Patent No: 6,682,753
Attorney Docket No.:	NRNZ-1017

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Tide:	Neuronal Rescue Agent
Inventors:	Gluckman, Williams, Wu, Hughes, Lai
Application No.:	PCT/NZ98/00139
Attorney Docket No.:	NRNZ-1004WO

Title:	Neuronal Rescue Agent
Inventors:	Gluckman, Williams, Wu, Hughes, Lai
Palent No.:	AU 738,192
Attorney Docket No.:	NANZ-1004AU

Title:	Regulation of tyrosine hydroxylase
Inventors:	Glucionan, Guan, Alexi
Application No. or Patent No.:	PCT/NZ99/00085
	AU 751,217
	CN 99807332-6
	ÉP 99928258.5
· .	JP 554388/2000
	NZ 330,684
	US Pat. No: 6,617,311
	US Application Not 10/606,574
Altomey Dockst No.:	NRNZ-1005

Title: .	Neuroprotection
Inventors:	Scheepens, Williams, Gluckman, Clark
Application No. or Palent No.:	PCT/NZ99/00147
	CN 99810813.5
1	₽ 99 946 457.1
	BR 1991/13457-8
	JP 568459/2000
	NZ 510,253
	US 09/786,982
Attorney Docket No.:	NRNZ-1006

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Tale:	GPE analogs and peptidomimetics
Inventors:	Abood, Brimble
Application No.:	PCT/US02/16361
Attorney Docket No.:	NANZ-1018WO

Title:	GPE analogs and pepudomimetics
Inventors:	Abood, Brimble
Application No.:	JP 2002-592330
Attorney Docket No.:	NRNZ-1018JP0

Trite:	GPE analogs and peptidomimetics
Inwentors:	Abood, Brimble
Application No.:	EP 02731918.5
Attorney Docket No.:	NRNZ-1018EP0

Title:	GPE analogs and peptidomimetics
Inventors:	Abood, Brimble .
Application No.:	US 10/155,884
Altomey Docket No.:	NRNZ-1018US1

Title:	Neureoprotection and /or neurorestorallon via the neural activin type IIB receptor
Inventors:	Hughes, Fernandez, Raniga
Application No.:	PCT/U\$02/20111 US 60/300,514 U\$ 10/177,795
Attorney Docket No.:	NRNZ-1019

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Thile:	Neuronal Restoration Agents
Inventors:	Wu, Williams
Application No.:	US 60/371,661
Attorney Dacket No.:	NRNZ-1021

Title:	Compositions and methods for the treatment of brain damage
Inventors:	Sleg, Hughes
Application No.:	US 60/314,952 PCT/US02/26782
Attorney Docket No.:	NRNZ-1023

Title:	Neural Regeneration peptides and Methods for their Use in Treatment of Brain damage
Inventors:	Sieg, Hughes
Application No.:	US 10/225,838
Attorney Docket No.:	NRNZ-1023US1

Title:	Neural Regeneration Poptides and Methods for their Use in Treatment of Brain damage
Inventors:	Sieg, Gorba
Application No.:	US 60/516,018
Attorney Docket No.:	NRINZ-1023US3

Tiue	Neural Regeneration peptides and Methods for their Use in Treatment of Brain damage
Inventore:	Sieg, Gorba
Application No.:	US 60/585,041
Attorney Docket No.:	NRNZ-1023US4

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Trile:	Treatment on demyelinating diseases
Inventors:	Krissansen, Kanwar
Application No.:	NZ 507,47B PCT/US01/32167 EP01981601.6
Altomey Docket No.:	NRNZ-1033

Title:	Treatment on demyelinating diseases
Inventors:	Krissansen, Karwar
Application No.:	NZ 507,478 PCT/US01/32198 US 10/398,876
Attorney Docket No.:	NRNZ-1094

Title:	Cyclo(prolyl-glycine) and methods of use to treat neural disorders
Inventors:	Guan, Gluckman, Thomas, Sleg
Application No.:	NZ 515,371 NZ 515,432 NZ 515,551 PCT/US2002/038235
Attorney Docket No.:	NRNZ-1038

Tridec	Compositions and methods for Immunomodulation	
Inventors:	Gluckman	
Application No.:	PCT/US03/09714 US 60/370,788	
Altorney Dockel No.	NRNZ-1038	

Title:	Treatment of diabetes
Inventors:	Vickers
Application No.:	US 60/527,024
Attorney Docket No.:	NRNZ-1043

Tite:	Compositions and Methods For the Treatment of Degenerative Diseases And Conditions of The Body
triventors:	Gluckman, Egan
Application No.:	US 60/397,827 US 60/489,167
Attorney Docket No.:	NRNZ-1044

Title:	Use of Giy-Pro-Glu to Treat Spinel Cord and Peripheral Nerve Damage	
Inventors:	Giuckman, Williams, Clarke, Sirimanne	
Application No.	US 60/538,577	
Attorney Docket No.	NRNZ-1046	

Title:	Cyclic Protine-Containing Peptide Mimetics	
juveurora:	Harris, Brimble	
Application No.:	US 60/456,138 US 60/505,119 PCT/US04/008108	
Attorney Docket No.:	NRNZ-1048	

Title:	NEUROPROTECTIVE BICYCLIC COMPOUNDS AND METHODS FOR THEIR USE	
Inventors:	Brimble, Guen, Sieg	
Application No.:	PCT/US04/28308	
Attorney Docket No.:	NRNZ-1050	

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Title:	Neuroprotective Effects of Gly-Pro-Glu Following Intravenous Infusion		
Inventors:	Guan, Thomas, Batchelor, Breier, Gluckman		
Application No.:	US 60/553,688		
Altomey Docket No.:	NRNZ-1052US2		
Title:	Pharmacokinetics of GPE and methods of its administration		
Inventors:	Batchelor, Thomas, Breier, Gluckman		
Application No.:	US 60/515,397		
Attorney Docket No.:	NRNZ-1052US1		
Title:	Anti-GPE antibodies, their uses and analytical methods for GPE		
Inventors:	Thomas, Breier, Batchelor		
Application No.:	PCT/US02/08195		
Attorney Docket No.:	NRNZ-1016WO0		
Tide:	Anti-GPE antibodies, their uses and analytical methods for GPE		
inventors:	Thomas, Breler, Balchelor		
Application No.:	EP 02753654.9		
Attorney Docket No.:	NANZ-1016EP0		
Title:	Anti-GPE antibodies, their uses and analytical methods for GPE		
Inventors:	Thomas, Breier, Batchelor		
Application No.:	US 10/100,516		
Attorney Docket No.:	NRNZ-1016US1		
	·		
Title:	GPE and GPE analogues /peptidomimetics and caffeinol improve sensory-motor function recovery after stroke in rats		
Inventors:	Grotta, Glucionan		
Application No.:	US 60/557,940		
Attorney Docket No.:	NPMZ-1053US0		

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Title:	GPE and G2MePE in combination with calleinol improve sensory motor function recovery after stroke	
Inventors:	Grotta, Gluckman	
Application No.:	US 60/572,627	
Attorney Docket No.:	NRNZ-1053US1	

WHEREAS, PATENT PROPERTY shall include each and all of the following:

- (a) each of the foregoing patents and patent applications therefore and all documents from which any of the above patents and patent applications claim priority and the inventions disclosed therein, and all embodiments of such inventions heretotore assigned to ASSIGNOR [all collectively hereinafter termed "inventions"];
- (b) all rights to apply in any and all countries of the world for patents, certificates of invention or other governmental grants on said inventions, including the right to apply for patents pursuant to the international Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding:
- (c) any and all applications filed and any and all patents, certificates of invention or other governmental grants granted on said inventions in each and every country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications;
 - (d) each and every relessue or extension of any of said palents;
 - (e) each and every patent claim resulting from a reexamination certificate for any and all of said patents, and
- (f) the right to sue for and all claims for damages, profits or other recovery resulting from infringement, including past infringement, of any of the foregoing.

WHEREAS Neuron Pharmaceuticals Ltd. (hereinaflar termed "Assignes"), a New Zealand company having a place of business at Level 3, 2-6 Park Avenue, Grafton, Auckland, Country of New Zealand, is to acquire from ASSIGNOR the entire rights, title and interest in and to said Patent Property.

NOW THEREFORE, for good and valuable consideration acknowledged by said ASSIGNOR to have been received in full from said ASSIGNEE:

- ASSIGNOR hereby sells, assigns, transfers and otherwise conveys to ASSIGNEE, and ASSIGNEEs successors, legal representatives and assigns, the entire right, title, and interest in and to the PATENT PROPERTY.
- 2. ASSIGNOR further agrees to execute and cause to be executed such additional instruments as may be necessary or desirable to confirm the transfer of rights as herein contemplated, to record the transfer of rights in the United States and throughout the world, and to permit ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns to enforce the PATENT PROPERTY.

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Page 14 cf 14

IN WITNESS WHEREOF, ASSIGNOR has caused this instrument to be executed by its duty authorized corporate office and delivered to ASSSIGNEE this 1" day of January, 2004 in the Country of New Zepland.

This ASSIGNMENT may be executed in counterparts. If this ASSIGNMENT is executed in counterparts, all counterparts together shall be considered to be a single instrument.

		Neuron2 Ltd.	$N \times I \cap$
Бу:			By:
	(Signature)		(Bignature)
Name:	Pobin Congreve		Name:Jim McLay
Title:	Director	, <u></u>	Tribe: Director
Signature Dat	ts:	<u> </u>	Signeture Dale: 45/10/04
		Witnesses	
Ву:			Name: SANDY SCHAOE Signature Data: 15/10/04
-,-	(Signature)		(Signature)
Name:			Name: SANDY SCHAOE
Sienature l'Ita	t u :		Signature Date: 15/10/04
Cognition to			

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